

TAX FORM DELIVERY CALENDAR

FORM NAME	FORM DESCRIPTION	ACTIVATES ONLINE (on or before)	eDELIVERY ELIGIBLE (client elected)
1099-Q (529 accounts)	Distributions from college savings accounts.	1/8/21	Yes
5498	Contributions and year-end market values of retirement accounts.	1/21/21	Yes
1099-R	Distributions from retirement accounts.	1/21/21	Yes
1099-SA	Distributions from Health Savings Accounts.(HSA)	1/21/21	Yes
1099: 1st mailing (POP 1)	Includes brokerage accounts with holdings whose income do not require reclassification or additional information from issuers. Generally, this includes accounts holding options, certain equities, and fixed income securities.	1/23/21	Yes
1099: 2nd mailing (POP 2)	Might include equities and fixed income securities, closed-end funds, unit investment trusts (UITs), and non-Fidelity mutual funds where the issuer provided final tax information after the 1st mailing.	2/13/21	Yes
1099 Informational Tax Statement (Info Only)* (available online only)	Contains supplemental tax reporting information for Corporate and eligible exempt accounts. The details are not updated or reported to the IRS.	2/13/21	N/A
1099: Preliminary Tax Statement (available online only)	Provides a 'point in time' snapshot of reporting activity for clients scheduled in a later mailing. Includes a symbol/CUSIP for the list of positions, which are lacking final tax information.	2/13/21	N/A
1099: 3rd mailing (POP 3)	Might include brokerage accounts holding unit investment trusts (UITs), real estate investment trusts (REITs).	2/27/21	Yes
1042-S: Nonretirement accounts	Brokerage nonretirement accounts held by nonresident aliens (NRA). The form reports all U.S. source income distributions and NRA taxes withheld.	3/11/21	No
1042-S: Retirement accounts (not available online)	Brokerage retirement accounts held by nonresident aliens (NRA). The form reports retirement distributions and NRA taxes withheld.	3/15/21	No
1099: 4th mailing (POP 4)	Might include brokerage accounts holding unit investment trusts (UITs) and real estate investment trusts (REITs) in which final tax information was delayed from the issuer. Also includes mortgage backed securities (MBS) and REMICs, in which final tax information from the issuer has been received.	3/5/21	Yes

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FORM NAME	FORM DESCRIPTION	ACTIVATES ONLINE (on or before)	eDELIVERY ELIGIBLE (client elected)
592-B	Reports state tax withheld for residents of California.	1/21/21	Yes
480.6 A	Reports US domestic taxable income, PR taxable income without PR (foreign) Source withholding, and all gross proceeds paid to PR residents.	2/23/21	No
480.6 B	Reports PR residents with PR Source withholding for PR Securities.	2/23/21	No
480.6 C	Reports Non-PR residents with PR Source withholding for PR securities.	2/23/21	No
480.6 D	Reports PR source income, from PR debt instruments and dividend income, as reported on form 480.6B.	2/23/21	No
2439**	Undistributed long-term capital gains from a regulated investment company and taxes paid by the mutual fund	3/9/21	No
5500 AVS	Keogh Money Purchase and Profit-Sharing Plans Annual Valuation Statement.	4/20/21	No
5498, 5498-SA (Spring)	Retirement and Health Savings Account year-end market values and prior year contributions made between January 1 - April 15 of the following tax year.	5/5/21	Yes

*Effective for Tax Season 2021 (and going forward), the Info Only will be issued online only and no longer mailed. Preparation of this form is an optional service that requires opting into by the branch or super-branch; see the Tax Form Eligibility Matrix for a list of available registrations. For all other Info Only requests, please see the Tax Form Correction Calendar for ad hoc INFO 1099 availability.

**Applicable for Regulated Investment Companies (RICs) with a December 31 tax year end.

